

Ref	Process	The Regulations that apply	Number of Cases Q1	SYPA compliance within disclosure Q1	SYPA Compliance when exclude time waiting on third party Q1	Number of Cases Q2 2022-23	SYPA compliance within disclosure Q2 2022-23	SYPA Compliance when exclude time waiting on third party Q2 2022-23	Number of Cases Q3 2022-23	SYPA compliance within disclosure Q3 2022-23	SYPA Compliance when exclude time waiting on third party Q3 2022-23	Number of Cases Q4 2022-23	SYPA compliance within disclosure Q4 2022-23	SYPA Compliance when exclude time waiting on third party Q4 2022-23
JOINING & CONTRIBUTING MEMBERS														
1	Joiner (Applies to all new joiners)	The Occupational and Personal Pension Schemes (Disclosure of Information) Regulations 2013 - SI 2734 Regulation 6 - Basic scheme information		NA. This is an employer responsibility, though we may wish to measure our own documentation.	NA. This is an employer responsibility, though we may wish to measure our own documentation.		NA. This is an employer responsibility, though we may wish to measure our own documentation.	NA. This is an employer responsibility, though we may wish to measure our own documentation.		NA. This is an employer responsibility, though we may wish to measure our own documentation.	NA. This is an employer responsibility, though we may wish to measure our own documentation.		NA. This is an employer responsibility, though we may wish to measure our own documentation.	NA. This is an employer responsibility, though we may wish to measure our own documentation.
2	Transfer Value In - Quotation (Applies to all contributors or prospective contributions who are enquiring about transferring benefits in)	The Occupational and Personal Pension Schemes (Disclosure of Information) Regulations 2013 - SI 2734 Regulation 14 - Transfer credits	218	91.74%	100%	138	91.30%	100%	127	98.00%	100%	198	95.00%	97%
3	Transfer Value In - Payment (Applies to all contributors who have elected to transfer benefits in)	Pension Schemes Act 1993 Regulation 99 - Trustees duties after exercise of option	185	100%	100%	65	100%	100%	366	96%	100%	448	94%	100%
		The Local Government Pension Scheme Regulations 2013 - SI 2013 No. 2356 Regulation 73 - Notification of first instance decisions												
4	Pension Savings Statements	The Registered Pension Schemes (Provision of Information) Regulations 2006 The Local Government Pension Scheme Regulations 2013 - SI 2013 No. 2356		Due by 6/10/2022		88	TBC			N/A			N/A	
5	Active Member Benefit Statements (Applies to all contributors who were contributors on 31 March)	The Local Government Pension Scheme Regulations 2013 - SI 2013 No. 2356 Regulation 89 - Annual benefit statements		Due by 31/8/2022		46,825	>99%			N/A			N/A	
MEMBERS WHO ARE LEAVING OR HAVE LEFT THE LGPS BEFORE RETIREMENT														

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6	Deferred Benefit - Notification of Entitlement (Applies to any member who leaves before they have reached their normal pension age)	The Occupational Pension Schemes (Preservation of Benefit) Regulations 1991 Regulation 27A - Information to be furnished to early leavers	1014	77.51%	100%	957			466	94.00%	98%	528	99.00%	100%
7	Transfer Value Out -Quotation (Applies to leavers who are entitled to transfer out of the Scheme or contributors who want a quotation because they are due to leave shortly or for another reason)	Occupational Pension Schemes (transfer values) Regulations 1996 Part III - Statements of entitlement and calculation of transfer values - (11) Disclosure	123	80.49%	100%	144	90.97%	100%	258	94.00%	100%	279	94.00%	100%
8	Transfer Value Out -Payment (Applies to any leaver who is entitled to a transfer out)	Pension Schemes Act 1993 Regulation 99 - Trustees duties after exercise of option	77	100%	100%	21	100%	100%	126	100%	100%	54	99%	100%

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9	Deferred Member and Pension Credit Member Benefit Statements (Applies to all deferred members, deferred pensioners and pension credit members who were deferred on 31 March)	The Local Government Pension Scheme Regulations 2013 - SI 2013 No. 2356 Regulation 89 - Annual benefit statements (Also Section 14 Chapter 25 Public Service Pensions Act 2013)				47,706	100%			N/A			N/A	
RETIRING & RETIRED MEMBERS														
10	Immediate Payment of Pension - Offer (Applies to all contributors who are entitled to the immediate payment of benefits)	The Occupational and Personal Pension Schemes (Disclosure of Information) Regulations 2013 - SI 2734 Regulation 16 - Statement of benefits: non money purchase benefits	1037	92.39%	100%	1041	82.03%	100%	724	92%	99%	624	96%	99%
11	Immediate Payment of Pension - Payment (Applies to all contributors who are entitled to the immediate payment of benefits)	The Local Government Pension Scheme Regulations 2013 - SI 2013 No. 2356 Regulation 73 - Notification of first instance decisions	850	100%	100%	775	NA	99%						
ALL MEMBERS														
12	Divorce information - quotation (Applies to all members who need pension information required for divorce proceedings)	The Pensions on Divorce etc. (Provision of Information) Regulations 2000 Regulation 2 - Basic information about pensions and divorce	65	93.85%	100%	103	87.96%	100%	64	99%	99%	96	98.00%	100%
13	Divorce information - pension sharing order received - pre implementation (Applies to all members who have had a pension sharing order made as part of their divorce proceedings)	The Pensions on Divorce etc. (Provision of Information) Regulations 2000 Regulation 7 - Provision of information after receiving a pension sharing order	2	100%	100%	1	100%	100%	3	99%	100%	1	100.00%	100%
BEREAVEMENTS														

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14	Death (all types) (Applies to all beneficiaries)	The Occupational and Personal Pension Schemes (Disclosure of Information) Regulations 2013 - SI 2734	286	100%	100%	294	100%	100%	332	100%	100%	494	100%	100%
		Regulation 21 - Accessing benefits on the death of the member or beneficiary												
		The Local Government Pension Scheme Regulations 2013 - SI 2013 No. 2356	423	100%	100%	468	NA	100%						
		Regulation 73 - Notification of first instance decisions												